

**Assurance of Learning Communication and Professionalism
Learning Objective 1 (Written)**

Semester & Year: Fall 2023	Degree Program Name: Masters of Science in Finance
Course Name: Digital Innovation in Financial Markets	Course Number: FINN 5243
Faculty Name: Andrew Lynch	Number of Students Assessed: 23
Assessment Method: A 30-minute group in-depth presentation to the class covering a topic related to class material. At least one document must be provided to students in the class the week before the presentation (i.e. news article, academic paper, video) for students to consume before the presentation. Some type of visual aid (i.e. presentation slides) are required. Groups are encouraged to make the presentation interactive but are not explicitly required to do so. The grade is determined 70% on content and 30% on delivery.	
Improvement Activities, Suggestions, Plans (required if results are below 80%, encourage all other times): Presentations later in the semester generally mimic those earlier in the semester. In the future I plan to provide some sample material to encourage creativity both in topics chosen and in how it is presented.	

Summary of Assessment

Group Presentation (Communication and Professionalism Objective 2)	Exceed Expectation	Meet Expectation	Fail to Meet Expectation
a) Content of Presentation	14 (60.87%)	9 (39.13%)	0 (0%)
b) Quality of Delivery	10 (43.48%)	8 (34.78%)	4 (21.47%)
<i>Overall Objective Result</i>	<u>12 (52.17%)</u>	<u>11 (47.83%)</u>	<u>0 (0%)</u>

**Assurance of Learning Communication and Professionalism
Analytical/Critical Thinking/Problem Solving**

Semester & Year: Spring 2024	Degree Program Name: Masters of Science in Finance
Course Name: Shollmier Fund	Course Number: FINN 541V
Faculty Name: Craig Rennie	Number of Students Assessed: 21
Assessment Method: Students will employ appropriate qualitative and model-building techniques in developing financial decision structures. Students prepared financial models, investment recommendations, and presented oral presentations.	
Improvement Activities, Suggestions, Plans (required if results are below 80%, encourage all other times):	

Summary of Assessment

Group Presentation (Communication and Professionalism Objective 2)	Pitch	Model
c) Content of Presentation	A - 21 (100%)	A - 21 (100%)
d) Quality of Delivery	A - 21 (100%)	A - 21 (100%)
<u>Overall Objective Result</u>	A - 21 (100%)	A - 21 (100%)

Requested AOL deliverables are forwarded as follows:

- FINN 5173 Energy Finance & Risk Management – sample student case study for Exxon Mobil (see Excel spreadsheet and 5-page Powerpoint attached);
- FINN 541V Shollmier Fund – oral grading for excellent presentations by students follows:

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FINN 541V Shollmier Fund - Spring 2024

Pitch & Model Oral Presentation Company Name

...	...	A	ORLY	O'Reilly Auto Parts
...	...	A	MPC	Marathon Petroleum Corp
...	...	A	RUSH	Rush
...	...	A	MA	Mastercard
...	...	A	SWAV	Shockwave Medical
...	...	A	MA	Mastercard
...	...	A	SWAV	Shockwave Medical
...	...	A	T	AT&T
...	...	A	ORLY	O'Reilly Auto Parts
...	...	A	TXN	Texas Instruments
...	...	A	AIRBNB	AirBNB
...	...	A	MPC	Marathon Petroleum Corp
...	...	A	SBUX	Starbucks
...	...	A	MA	Mastercard
...	...	A	Costco	Costco
...	...	A	Costco	Costco
...	...	A	TXN	Texas Instruments
...	...	A	TXN	Texas Instruments
...	...	A	SBUX	Starbucks
...	...	A	ORLY	O'Reilly Auto Parts
...	...	A	SBUX	Starbucks

- FINN 541V Shollmier Fund – sample stock Excel model and stock pitch Powerpoints attached (I have all of them for FINN 541V if desired, and will keep them for this class and others for future AOL documentation purposes)
- FINN 541V Shollmier Fund – investment recommendations (summarized):

Spring 2024 - Proposed Shollmier Fund Trades			# Shares	Est. current stock price	Est. Effect
		Ticker	or par value		
Sell at the market	Common Stock	ADM	150	62.13	9,319.50
	Common Stock	BG	50	104.99	5,249.50
	Common Stock	CF	90	74.09	6,668.10
	Common Stock	CSCO	195	774.06	150,941.70
	Common Stock	DLTR	60	119.97	7,198.20
	Common Stock	MMLP	700	2.9	2,030.00
	Common Stock	MMM	100	95.56	9,556.00
	Common Stock	SOLV	25	64.92	1,623.00
	Common Stock	WMT	120	60.33	7,239.60
	Mutual Fund	FLPSX	1233.6	47.45	58,534.32
	Bond	RMR GROUP LLC 4.5%25 DUE 02/01/25	9,000	* Recently downgraded to CCC	7,000.00
					265,359.92
Buy at the market		MO (Altria)	400	44.63	17,852.00
	ADR	NSRGY (Nestle)	200	103.77	20,754.00
	Common Stock	Costco	35	773.93	27,087.55
	Common Stock	Mastercard	50	453.85	22,692.50
	Common Stock	T	1200	17.12	20,544.00
	Common Stock	ORLY	30	1029.91	30,897.30
	Common Stock	MUSA	70	413.66	28,956.20
	Common Stock	Autozone	10	2981.38	29,813.80
	Common Stock	Texas Instruments	100	184.53	18,453.00
	Common Stock	Ford	1000	12.17	12,170.00
	A rated bonds	Bond Ladder 2029-31	38500		38,500.00
					267,720.35

It should also be noted that the Shollmier Fund students placed 2nd in the graduate fund category at the RISE Symposium world's largest student managed investment fund competition in New York in March, 2024, for class risk-adjusted performance in calendar year 2023.

Assurance of Learning Communication and Professionalism Oral Communication

Semester & Year: Spring 2024	Degree Program Name: Masters of Science in Finance
Course Name: Advanced Corporate Finance	Course Number: FINN 5233
Faculty Name: Yu Wang	Number of Students Assessed: 5
Assessment Method: Students will be able to deliver professional quality presentations to a critical professional audience.	

Improvement Activities, Suggestions, Plans (required if results are below 80%, encourage all other times):

Summary of Assessment

Group Presentation (Communication and Professionalism Objective 2)	Pitch
e) Content of Presentation	90% average (2 100%, 2 80% across 4 presentations and 5 students)
<i>Overall Objective Result</i>	A - (90%)

Presentation #1: 5 out of 5

- Clear presentation slides, all case questions answered/attempted.
- included firm background/pictures in real life.
- Spreadsheet organized appropriately as supplemental materials on the slides, supporting the descriptive answers.
- Presenters seem to be well prepared, knowing what to say and can answer questions.

Presentation #2: 4 out of 5

- Informative presentation slides, all case questions answered/attempted.
- Slide pages can be too "busy", recommend showing one or two bullet points at a time, instead of showing everything simultaneously; can also vary fonts on the slides to highlight important phrases.
- Presenters need to talk a bit louder and show more confidence. This can be improved by running through the slides a couple of times in advance.

Presentation #3: 4 out of 5

- Slides are informative, but can be too crowded, making it hard for the audience to follow through, especially when all bullet points are shown simultaneously.
- Data included to support conclusions.
- One presenter in the group is very well prepared and can answer questions nicely; other group members seem less prepared.
- It may help to use timelines/graphs to illustrate complicated information better.

Presentation #4: 5 out of 5

- Informative slides with supporting data and references.
- although each page can include a lot of bullet points, but only one additional bullet point is shown each time, making it easier to focus.
- Presenters can answer questions well.